

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 5	1 8

 to

YYYY	MM	DD
2 0 1 8	1 2	3 1

 Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

 Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Carlson

Given Name(s)

George

Office for which the candidate sought election

Councillor

Ward name or no. (if any)

Ward 11

Municipality

City of Mississauga

Spending Limit - General

\$ 35,396.85

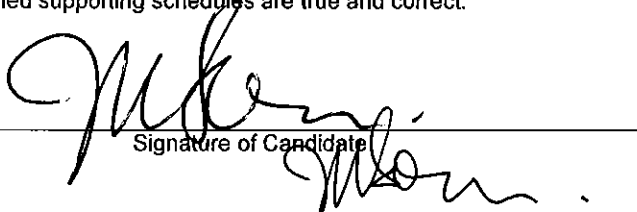
Spending Limit - Parties and Other Expressions of Appreciation

\$ 3539.68


 I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, George Carlson, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

Mar 22/19
Date (yyyy/mm/dd)
Mar 27/19

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
<u>Mar 26/19</u>	<u>1:08 PM</u> <u>2:46 pm</u>	<u>A.C. Isc.</u>	

MARCH 27, 2019

RECEIVED
 19 MAR 27 2019
 CITY OF MISSISSAUGA

Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____

Amount borrowed \$ _____

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	40,911.00
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	40,911.00 c1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	3,686.00
Advertising	+ \$	5,548.30
Brochures/flyers	+ \$	4,471.41
Signs (including sign deposit)	+ \$	1,423.98
Meetings hosted	+ \$	_____
Office expenses incurred until voting day	+ \$	1,279.16
Phone and/or internet expenses incurred until voting day	+ \$	393.10
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	9,056.00
Bank charges incurred until voting day	+ \$	106.82
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. distribution of flyers	+ \$	5,224.50
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to general spending limit	= \$	31,189.27 c2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. Post election party	+ \$	2,000.00
2. stamps for thank you letters	+ \$	1,440.75
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	3,440.75 c3

Expenses not subject to spending limits

Accounting and audit	+ \$	2,486.00
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	
Phone and/or internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	17.50
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	

Total Expenses not subject to spending limits = \$ 2,503.50 C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 37,133.52 C5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	3,777.48	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
Total (D1 - D2)	= \$	<u>3,777.48</u>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
Surplus (or deficit) for the campaign	= \$	<u>3,777.48</u>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 200.00
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ 3,686.00
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 100.00
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 36,925.00
Less: Contributions returned or payable to the contributor	– \$ _____
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$ _____
Total Amount of Contributions (record under Income In Box C)	= \$ 40,911.00 1A

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See Attached Table			36,925.00	
Total			36,925.00	

Additional information is listed on separate supplementary attachment

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Not applicable				
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 36,925.00 1B**

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
sundry office supplies, scrap paper, paperclips, stapes, tape, etc.	2018/06/01	50.00
partial use of home garage to store signs, stakes, flyers, tools. 6 weeks @ \$50/week	2018/09/15	300.00
zipties	2018/10/01	100.00
use of home computer, printer, telephone, smart phone. 8 weeks @ \$50/week	2018/09/01	400.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total

850.00

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
24" x 48" large election signs	2010/10/25	Lakefront Graphics	200	2,484.00
2" x 48" wooden stakes	2010/10/25	Cookeville Lumber	200	352.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total 2,836.00

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$ _____	2A	
Number of tickets sold	X _____	2B	
Total Part I (2A X 2B) (include in Part 1 of Schedule 1)			= \$ _____

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	
Total Part II (include in Part 1 of Schedule 1)		= \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	
Total Part III (include under Income in Box C)		= \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	
6. _____	+ \$ _____	
7. _____	+ \$ _____	
8. _____	+ \$ _____	
Total Part IV Expenses (include under Expenses in Box C)		= \$ _____

Auditor's Report
Municipal Elections Act, 1996 (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor
Chartered Professional Accountant

Municipality City of Mississauga	Date (yyyy/mm/dd) 2019/03/12
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Contact Information

Last Name or Single Name Johnson	Given Name(s) Harold Grant	Licence Number 1-17097
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Address		
Suite/Unit No. 207	Street No. 168	Street Name Queen Street South

Municipality City of Mississauga	Province Ontario	Postal Code L5M 1K8
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Telephone No. (including area code) 905 819-8035	Email Address harold@mbsfinancialgroup.ca
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Harold G Johnson CPA, CA, LPA
Chartered Professional Accountant

Independent Auditor's Report

To Ministry of Municipal Affairs

Auditor's Report Candidate - Form 4

I have audited the accompanying Statement of Campaign Period Income and Expenses, included in the Ontario Ministry of Municipal Affairs – Auditor's Report Candidate - Form 4 of George Carlson, candidate at the election for the office of Councilor, City of Mississauga, for the campaign period from May 18, 2018 to December 31, 2018.

This Form 4 has been prepared by the candidate based on the financial reporting provisions of the Ontario Municipal Elections Act, 1996 covering the period from May 18, 2018 through December 31, 2018, and the accounting guidelines issued by the Ontario Ministry of Municipal Affairs.

Candidates Responsibility for the Report

The candidate is responsible for the preparation of the financial statement in accordance with the guidelines of the Ontario Ministry of Municipal Affairs Municipal Elections Act, 1996, and for such internal control as the candidate determines is necessary to enable the preparation of the Report that is free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express an opinion on the Form 4 based on my audit. I conducted my audit in accordance with Canadian generally accepted auditing standards. Those standards require that I comply with ethical requirements, and plan and perform the audit to obtain reasonable assurance whether the Form 4 is free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the Form 4. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the Form 4, whether due to fraud or error. In making those risk assessments, the auditor considers internal controls relevant to the preparation of the Form 4 in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the internal controls. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the candidate, as well as evaluating the overall presentation of the Form 4.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified audit opinion.

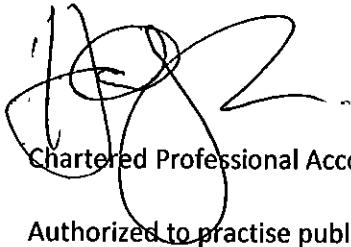
Harold G Johnson CPA, CA, LPA
Chartered Professional Accountant

Basis for Qualified Opinion

Due to the inherent nature of the transactions of municipal campaigns, the completeness of contributions, loan guarantees and other revenue and expenses is not susceptible of satisfactory audit verification. Accordingly, my verification of these amounts was limited to the amounts recorded in the campaign's accounting records and I was not able to determine whether any adjustments might be necessary to contributions, loan guarantees and other revenue and expenses.

Qualified Opinion

In my opinion, except for the possible effects of the matter described in the "Basis for Qualified Opinion" paragraph, the Return of George Carlson is prepared, in all material respects, in accordance with the reporting provisions of Ontario Ministry of Municipal Affairs, Municipal Elections Act, 1996, and the accounting guidelines issued by the Ministry.



Chartered Professional Accountant

Authorized to practise public accounting by Chartered Professional Accountants Ontario
Mississauga, Ontario
March 12, 2019

Surname	First Name	Street Number	Street Name	Unit	City	Province	Postal Code	Date Accepted	Amount
Carlson	George	54	William Street		Mississauga	ON	L5M 1J3	2018/07/26	\$200.00
Gallucci	John	51	Constellation Court	200	Toronto	ON	M9W 1K4	2018/09/06	\$1,200.00
Horosko	Barry	3	Dartmouth Crescent		Etobicoke	ON	M8V 1W9	2018/09/06	\$1,200.00
Mosley	David	148	Church Street		Mississauga	ON	L5M 1M8	2018/09/06	\$1,200.00
Zeppieri	George	80	Costa Road		Concord	ON	L4K 1N2	2018/09/06	\$1,000.00
Cesta	Carmine	536	Queens Drive		Toronto	ON	M6L 1M8	2018/09/10	\$1,200.00
Cortellucci	Nick	137	Bowes Rd		Concord	ON	L4K 1H3	2018/09/10	\$1,200.00
Kaneff	Ignat	2420	Doulton Drive		Mississauga	ON	L5H 3M3	2018/09/10	\$475.00
Pagliuso	Steve	99	Golden Gate Circle		Woodbridge	ON	L4H 1N6	2018/09/10	\$1,000.00
Fatehi	Yashar	1131A	Leslie Street	201	Toronto	ON	M3C 3L8	2018/09/14	\$1,200.00
Griffin	Randy	109	Braid Bend		Stouffville	ON	L4A 1R8	2018/09/14	\$600.00
Medeiros	Frank	2060	Burbank Drive		Mississauga	ON	L5L 2T7	2018/09/14	\$1,200.00
Pantalone	Jason	52	Glengowan Road		Toronto	ON	M4N 1G2	2018/09/14	\$1,200.00
Bon	Frank	1136	Thoresby Drive		Oakville	ON	L6J 1H9	2018/09/18	\$1,000.00
Doracin	Frank	785	Canyon Street		Mississauga	ON	L5H 4M3	2018/09/18	\$1,000.00
Hallett	Benjamin	4610	Cornerstone Drive		Burlington	ON	L7M 0C9	2018/09/18	\$200.00
Roberts	Katherine	256	Tuck Drive		Burlington	ON	L7L 2R1	2018/09/18	\$200.00
DORACIN	TERESA	1506	KIPPER AVENUE		Mississauga	ON	L5G 4E1	2018/09/21	\$1,000.00
FERRI	Diane	17	DONALBERT ROAD		TORONTO	ON	M9B 2E6	2018/09/21	\$200.00
Mundi	Gurdev	980	Mississauga Heights Drive		Mississauga	ON	L5C 1A6	2018/09/21	\$250.00
Rakla	Aziz	1877	Featherston Drive		Mississauga	ON	L5L 2T2	2018/09/21	\$1,200.00

Surname	First Name	Street Number	Street Name	Unit	City	Province	Postal Code	Date Accepted	Amount
De Gasperis	Carlo	127	Pine Valley Crescent		Woodbridge	ON	L4L 2W3	2018/09/26	\$1,000.00
Digby	Brian	3910	Selkirk Place		Mississauga	ON	L5L 3L5	2018/09/26	\$500.00
FERRI	DINO	17	DONALBERT ROAD PEBBLESTONE COURT		TORONTO	ON	M9B 2E6	2018/09/26	\$200.00
MCLEAN	LAURA	2447			Oakville	ON	L6M 4C4	2018/09/26	\$1,000.00
STRZEMIECZNY	CHRISTOPHER	3	SHIPLEY ROAD		TORONTO	ON	M9R 3H7	2018/09/26	\$1,000.00
SUTHERLAND	BRIAN	707	WILLARD AVENUE		TORONTO	ON	M6S 9S7	2018/09/26	\$200.00
Vandyk	John	2386	Doulton Place		Mississauga	ON	L5H 4G5	2018/09/26	\$1,200.00
Weisz	Edward	50	Fleming Drive		North York	ON	M2K 2N9	2018/09/26	\$1,000.00
CHAUDHRY	HENNA	1385	HOLLYWOOD AVENUE		Mississauga	ON	L5G 3J5	2018/10/05	\$200.00
De Zen	Sandro	1951	Rathburn Road East	194	Mississauga	ON	L4W 2N9	2018/10/05	\$1,000.00
Hill	CHRISTOPHER	2953	Strata Court		Mississauga	ON	L5N 6K5	2018/10/05	\$200.00
UDASKIN	LORNE	46	PURDON DRIVE		NORTH YORK	ON	M3H 4X1	2018/10/05	\$1,000.00
Andreopoulos	Dimitri	38	Kamloops Drive		Toronto	ON	M2J 3R7	2018/10/09	\$350.00
FERRI-GISONE	DIANA	14	JOCADA ROAD		TORONTO	ON	M6L 2J3	2018/10/09	\$200.00
LADNER	TODD	23	Amity Road		Mississauga	ON	L5M 1P1	2018/10/09	\$250.00
Leung	Jolie	218	MILVERTON BOULEVARD		TORONTO	ON	M4J 1V5	2018/10/09	\$200.00
GISONE	JAMES	14	JOCADA ROAD		TORONTO	ON	M6L 2J3	2018/10/10	\$200.00
SIKAND	BALJIT	5406	Bimini Court		Mississauga	ON	L5M 6G9	2018/10/10	\$500.00
Tufts	Robert	5	Wareham Drive		Mississauga	ON	L5M 1B5	2018/10/10	\$100.00
VALELA	VITO	99	CUPOLA CRESCENT		WOODBIDGE	ON	L4H 3G1	2018/10/10	\$1,200.00
Afara	Joseph	626	Minette Circle		Mississauga	ON	L5A 3B8	2018/10/12	\$150.00
Bonaccorso	Gino	292	Queen Street South		Mississauga	ON	L5M 1M1	2018/10/12	\$200.00

Surname	First Name	Street Number	Street Name	Unit	City	Province	Postal Code	Date Accepted	Amount
CARRASCO	PAULA	72	RAYMAR PLACE		Oakville	ON	L6J 6M1	2018/10/12	\$400.00
FERRI	TULLIO	5	BLUE SPRINGS ROAD		NORTH YORK	ON	M6L 2T4	2018/10/12	\$200.00
FERRI	INES	5	BLUE SPRINGS ROAD		NORTH YORK	ON	M6L 2T4	2018/10/12	\$200.00
GUTFREUND	STEVEN	85	SKYMARK DRIVE	1801	TORONTO	ON	M2H 3P2	2018/10/12	\$1,200.00
HOLMES	JAMES	1045	Old Derry Road		Mississauga	ON	L5W 1A1	2018/10/12	\$250.00
Kerr	Bruce	1173	BURROWHILL LANE		Mississauga	ON	L5H 4M7	2018/10/12	\$500.00
Libfeld	Sheldon	17	Jenkins Drive		Richmond Hill	ON	L4C 8C9	2018/10/12	\$1,200.00
Mantella	James	17621	Innis Lake Road		Caledon	ON	L7K 2K7	2018/10/12	\$1,200.00
Mazzocco	Fabio	1385	Hollywood Avenue		MISSISSAUGA	ON	L5G 3J5	2018/10/12	\$200.00
Broll	Glen	33	Harbour Square	2611	Toronto	ON	M5J 2G2	2018/10/25	\$500.00
BUCK	BABETTE	2173	TURNBERRY ROAD		BURLINGTON	ON	L7M 4P8	2018/10/25	\$200.00
BUCK	MATTHEW	2026	CLEAVER AVENUE	14	BURLINGTON	ON	L7M 4H8	2018/10/25	\$200.00
Mantella	Daniel	83	Edenvale Crescent		Toronto	ON	M9A 4A5	2018/10/25	\$1,200.00
									\$37,225.00